
Audit Committee

THURSDAY, 5TH NOVEMBER, 2009 at 19:30 HRS - CIVIC CENTRE, HIGH ROAD, WOOD GREEN, N22 8LE.

MEMBERS: Councillors Rahman Khan (Chair), Diakides, Gorrie, Mallett (Vice-Chair), Mughal, Meehan, Butcher and Dobbie

AGENDA

1. APOLOGIES

To note any apologies for absence.

2. URGENT BUSINESS

The Chair will consider the admission of any late items of urgent business. (Late items will be considered under the agenda item where they appear. New items will be dealt with at item 15 below).

3. DECLARATIONS OF INTEREST

A member with a personal interest in a matter who attends a meeting of the authority at which the matter is considered must disclose to that meeting the existence and nature of that interest at the commencement of that consideration, or when the matter becomes apparent.

A member with a personal interest in a matter also has a prejudicial interest in that matter if the interest is one which a member of the public with knowledge of the relevant facts would reasonably regard as so significant that it is likely to prejudice the member's judgement of the public interest **and** if this interest affects their financial position or the financial position of a person or body as described in paragraph 8 of the Code of Conduct **and/or** if it relates to the determining of any approval, consent, licence, permission or registration in relation to them or any person or body described in paragraph 8 of the Code of Conduct.

4. MINUTES (PAGES 1 - 14)

To confirm and sign the minutes of the Audit Committee held on the 30 July 2009.

5. DEPUTATIONS AND PETITIONS

6. AUDIT OF ACCOUNTS 2008/09 (PAGES 15 - 30)

Report of the Chief Financial Officer to update the Committee on the final outcome of the annual audit for 2008/09 and to agree the Council's response to the action plan proposed by the Council's auditors following their audit of the accounts.

7. COMPREHENSIVE AREA ASSESSMENT (CAA): USE OF RESOURCES (UOR) - AUDITOR'S REPORT ON THE 2008/09 ASSESSMENT (PAGES 31 - 90)

Report of the Chief Financial Officer to inform the Committee of the auditor's assessment of the Council's Use of Resources and the Council's intended actions to implement auditor recommendations.

8. GRANT THORNTON - AUDIT PROGRESS REPORT (PAGES 91 - 92)

9. PROGRESS REPORT ON COUNTER FRAUD ACTIVITY RELATING TO HOUSING BENEFIT AND COUNCIL TAX BENEFIT (PAGES 93 - 100)

Report of the Chief Financial Officer to advise and update Members on the Counter Fraud performance of the Benefit and Local Taxation Service from the 1st July 2009 – 30th September 2009.

10. INTERNAL AUDIT 2ND QUARTER PROGRESS REPORT (PAGES 101 - 154)

Report of the Head of Audit and Risk Management to inform the Audit Committee of the work undertaken during the second quarter by the Internal Audit Service in completing the annual audit plan and any responsive fraud investigation work. In addition, the work of the Council's personnel division in supporting disciplinary action taken across all departments by respective council managers.

11. RISK MANAGEMENT UPDATE - QUARTER 2 2009/10 (PAGES 155 - 162)

Report of the Chief Financial Officer to inform the Audit Committee of the current position on risk management implementation across the Council.

12. ALEXANDRA PALACE - FOLLOW UP AUDIT OF CORPORATE GOVERNANCE AND INTERNAL CONTROLS AT THE CHARITABLE TRUST AND TRADING COMPANY (PAGES 163 - 188)

Report of the Chief Financial Officer to advise the Audit Committee of the follow up audit work completed following the original review of corporate governance and internal control arrangements at Alexandra Palace and Park Charitable Trust and Alexandra Park Trading Limited.

13. NATIONAL FRAUD INITIATIVE (PAGES 189 - 192)

Report of the Head of Audit and Risk Management to advise the Audit Committee of the operation and progress made in investigating potential data matches across the Council as part of the National Fraud Initiative (NFI).

14. ANTI-FRAUD AND CORRUPTION STRATEGY (PAGES 193 - 224)

Report of the Head of Audit and Risk Management to advise the Audit Committee of the revised Corporate Anti-fraud and Corruption Strategy, Fraud Response Plan and Whistle-blowing Policy.

15. NEW ITEMS OF URGENT BUSINESS

To consider any new items of urgent business admitted at item 2 above.

16. DATE OF NEXT MEETING

Monday, 1 February 2010 at 7.30pm.

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28th October 2009